

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Silas W. Dunsmore, et al.

Examiner: Not Yet Assigned

Serial No.:

10/608,722

Group: Art Unit: Not Yet Assigned

Filed:

June 26, 2003

Docket: 1352-2

For:

METHOD AND APPARATUS Dated: September 26 2003

FOR EXCHANGING SUB-HIERARCHIAL STRUCTURES WITHIN A HIERARCHIAL

FILE SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items are not enclosed as the Office hereby waives the requirement under 37 CFR 1.98.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items

#### CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on September 26, 2003.

Dated: September 26, 2003

Adrienne Fagan

listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible. The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

- [X] This information disclosure statement is being filed within three (3) months of the filing date of this application.
- [] This information disclosure statement is being filed within three (3) months of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application.
- [X] To the best of Applicant(s) knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action on the merits in connection with this case.
- Enclosed herewith is a certificate under 37 C.F.R. § 1.97(e)(1).
- [] Enclosed herewith is a petition under 37 C.F.R. § 1.97(d)(ii).
  [] Enclosed by check is the petition fee of \$130.00. (37 C.F.R. §1.17(i)(1))
  - [] Please charge the \$130.00 petition fee to Deposit Account No. **50-2140**.
- [] Enclosed by check is the \$180.00 fee required by 37 C.F.R. § 1.17(p).
- Please charge the \$180.00 fee required by 37 C.F.R. \$1.17(p) to Deposit Account No. 50-2140.
- [X] Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §1.16 and/or §1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account 50-2140. Also, in the event any extensions of time for

responding and/or a petition under 37 C.F.R. §1.17(i)(i) are required for the pending application(s), please treat this paper as a petition to extend the time and/or enter this Information Disclosure Statement as required and charge Deposit Account No. 50-2140 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

The claims of the application as now presented are believed to be patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of this application are respectfully requested.

Respectfully submitted,

Raymond E. Farrell Reg. No. 34,816

Attorney for Applicant(s)

CARTER, DELUCA, FARRELL & SCHMIDT, LLP 445 Broad Hollow Road Suite 225 Melville, New York 11747 Phone: (631) 501-5700

Fax: (631) 501-5715

REF/af

OIP COO	Sheet	1 of
PTO-1449	Docket Number	Application Number
INFORMATION DISCLOSURE CITATION	1352-2	10/608,722
IN AN APPLICATION	Applicant Silas W. Dunsm	ore, et al.

(Use several sheets if necessary)

Group Art Number

Filing Date Not Yet Assigned June 26, 2003

### **U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	5,230,047	07/20/93	Frey, Jr. et al.			
	5,956,718	09/21/99	Prasad et al.			
	6,128,734	10/03/00	Gross et al.			
	6,381,615	04/30/02	Gaither et al.			
	6,446,085	09/03/02	Gusler et al.			
	6,615,365	09/02/03	Jenevein et al.			
	<del></del>			1		
				1		· · · · · · · · · · · · · · · · · · ·
	<del></del>			<del>                                     </del>		

#### FOREIGN PATENT DOCUMENTS

Document					Translation	
Number	_ Date_	Country	Class	Subclass	Yes	No
			<del></del>			-

## **OTHER DOCUMENTS**

Examiner

Form PTO-1449

Examiner: Initial if citation considered, whether or nor citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.